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**TRANSMITTAL
FORM***(to be used for all correspondence after initial filing)*

Total Number Of Pages In This Submission

71 + 357
references

Application Number

10/057,198

Filing Date

October 26, 2001

First Named Inventor

Peter M. LLOYD

Group Art Unit

3761

Examiner Name

Michael Mendoza

Attorney Docket No.

509032001600

ENCLOSURES (check all that apply)☐ Fee Transmittal Form☐ Assignment Papers
(for an Application)☐ After Allowance Communication to
Group☐ Fee Attached☐ Drawing(s)☐ Appeal Communication to Board of
Appeals and Interferences☐ Amendment / Reply☐ Licensing-related Papers☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ After Final☐ Petition☐ Proprietary Information☐ Affidavits/declarations☐ Petition to Convert to a
Provisional Application☐ Status Letter☐ Extension of Time Request☐ Power of Attorney, Revocation
Change of Correspondence Address☒ Other Enclosure(s) (please identify
below):☐ Express Abandonment Request☐ Terminal Disclaimer1. Original Form PTO-1449 and 3 copies
- Total 52 pages☒ Information Disclosure Statement - 4
pages☐ Request for Refund2. Three hundred twenty-seven (327)
references, thirty (30) patent
applications, and 14 cover sheets in
the 3 shipping boxes.☐ Certified Copy of Priority Document(s)☐ CD, Number of CD(s) _____

3. Return postcard

☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

Remarks

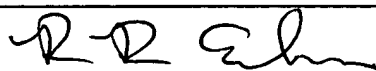
SIGNATURE OF APPLICANT, ATTORNEY OR AGENTFirm
or

Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018

Individual Name

Richard R. Eckman (Registration No. 42,504)

Signature



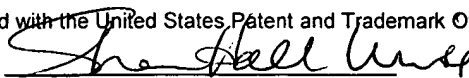
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12/10/02

Date

Richard L. Lingo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter M. Lloyd et al.

Serial No.: 10/057,198

Filing Date: October 26, 2001

For: METHOD AND DEVICE FOR
DELIVERING A PHYSIOLOGICALLY
ACTIVE COMPOUND

Examiner: Michael Mendoza

Group Art Unit: 3761

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**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of _ is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of _ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

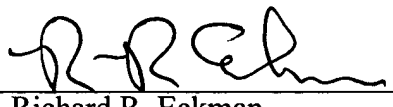
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 509032001600. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: December 3, 2002

Respectfully submitted,

By: 
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